

Appendix 1 - Audit Plan Monitoring 2022/23
Progress as at September 2022

	Service	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High Priority Recs	Medium Priority Recs	Low Priority Recs
Finish 21/22 Council housebuilding	Housing & Property	5	Final report	■	■	■	substantial	0	0	0
Finish 21/22 KFC Treasury Management	Corporate Services	5	Final report	■	■	■	moderate	0	1	1
Finish IT Major Incident Management Strategy	Corporate Services	5	Final report	■	■	■	moderate	0	3	2
KFC Debtors	Customer Services	15	Draft report	■	■					
Cyber security	Corporate Services	10	Draft report	■	■					
Finish 21/22 audit Qualis (repairs)	Housing & Property	5	In progress	■						
Data analytics - Compliance with the Procurement Rules	Contracts and Technical	20	In progress	■						
Safes (new audit)	Corporate Services	10	In progress	■						
Right to Buy Conveyancing (new audit)	Corporate Services	5	In progress	■						
H&S assurance mapping	Corporate Services	20	In progress	■						
Agency Staff (new audit)	Corporate Services	10	Scoping							
Climate emergency action plan	Economic Development & Partnerships	12	Scoping							
KFC Cash and banking	Customer Services	15	Scoping							
Qualis Commercial	Chief Executive	12								
Corporate KPIs (underperformance)	Strategy Delivery & Performance	15								
Council housebuilding	Housing & Property	15								
Commercial rent and leases	Housing & Property	20								
Declarations of Interest	Corporate Services	5								
KFC General ledger	Corporate Services	12								
Delivery of the Local Plan	Planning Services	15								
Community Grants	Community Culture & Wellbeing	12								
TOTAL								0	4	3

Key

c/f = carried forward

H&S = Health and Safety

KFC = Key Financial Controls